

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
09469.007001

In Re Application Of: **Martin J. Koistinen et al.**

Serial No.

Filing Date

Examiner

Group Art Unit

August 20, 2001

Title: **REMOTE UNBLOCKING WITH A SECURITY AGENT**

Address to:

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09469.007001

31002 U.S. PRO  
09/932882

08/28/01

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August 20, 2001

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
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Typed or Printed Name of Person Signing Certificate

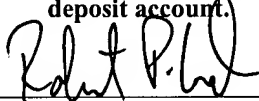
**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.



Signature

Dated: **August 20, 2001**

**Robert P. Lord, Reg. No. 46,479**  
**ROSENTHAL & OSHA L.L.P.**  
**700 Louisiana, Suite 4550**  
**Houston, Texas 77002**  
**Telephone: 713/228-8600**

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

09469.007001

Application Number

Applicant(s)

Martin J. Koistinen et al.

Filing Date

August 17, 2001

Group Art Unit

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------------|-------------------|-------|----------|-------------------------------|
|                      | A1  | 5,068,894       | 11/26/1991 | Hoppe             | 380   | 23       | 08/22/1990                    |
|                      | A2  | 5,534,857       | 07/09/1996 | Laing et al.      | 340   | 825.34   | 11/10/1992                    |
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|                      | A4  | 5,838,251       | 11/17/1998 | Brinkmeyer et al. | 340   | 825.31   | 09/03/1996                    |
|                      | A5  | 5,850,442       | 12/15/1998 | Muftic            | 380   | 21       | 03/26/1996                    |
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## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|----------------------|-----|-----------------|------------|---------------|-------|----------|-------------------------------|
|                      | B1  | 6,226,744 B1    | 05/01/2001 | Murphy et al. | 713   | 200      | 10/09/1997                    |
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|                      |     |                 |            |               |       |          |                               |
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